

EXHIBIT 4

	A	B	C	D	E
1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
2	LBHI0001	1/28/2014	Declaration Of Ralph I. Miller In Support Of Memorandum Of Law In Support Of Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibits A-F)		
3		10/2/2013	Exhibit A to Declaration Of Ralph I. Miller, Order Approving Stipulation of Facts Regarding RSUs and CSAs, Including the Tax and Accounting Treatment of RSUs and CSAs, dated October 2, 2013 (with attached Stipulation and exhibits), [ECF No. 40263]		
4		12/21/2011	Exhibit B to Declaration Of Ralph I. Miller, Hearing Transcript, <i>In re Lehman Bros. Holdings, Inc.</i> , No. 08-13555-jmp (Bankr. S.D.N.Y. Dec. 21, 2011), [ECF No. 23741]		
5			Exhibit C to Declaration Of Ralph I. Miller, Lehman Brothers 2005 Equity Award Program For Bonus-Eligible and Production-Based Employees	LEH-RSU 0022573	LEH-RSU 0022584
6		2/13/2006	Exhibit D to Declaration Of Ralph I. Miller, Excerpts from LBHI's 2005 Form 10-K for Fiscal Year ended November 30, 2005, dated February 13, 2006	LEH-RSU 0005994	LEH-RSU 0006099
7		11/8/2007	Exhibit E to Declaration Of Ralph I. Miller, Lehman Brothers Holdings Inc. Employee Incentive Plan as amended through November 8, 2007	LEH-RSU 0000254	LEH-RSU 0000262
8		3/29/2013	Exhibit F to Declaration Of Ralph I. Miller, Letter from Karen M. Simon Kreiger to Honorable James M. Peck, Robert J. Lemons, Esq., and Mark Bernstein, Esq., dated March 29, 2013 re: Participation in RSU Claims Discovery in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interest: Karen M. Simon Krieger – Claim Number: 18087 in the amount of \$164.319.52, sent in response to LBHI's Discovery Requests (without production enclosures)		
9	LBHI0002	3/4/2014	Supplemental Declaration Of Ralph I. Miller in Support Of LBHI's Opposition To Claimants' Opening Memoranda Regarding Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibits A-E)		
10		6/30/1995	Exhibit A to Supplemental Declaration Of Ralph I. Miller, Excerpts from Enron Corporation's 1995 Form S-8, dated June 30, 1995		
11			Exhibit B to Supplemental Declaration Of Ralph I. Miller, 2004 Stock Option Award Agreement Evidencing A Grant of Nonqualified Stock Option - Managing Directors	LEH-RSU 0002313	LEH-RSU 0002316
12			Exhibit C to Supplemental Declaration Of Ralph I. Miller, 2005 Stock Option Award Agreement Evidencing A Grant of Nonqualified Stock Option - Senior Vice-Presidents	LEH-RSU 0002403	LEH-RSU 0002406
13		1/5/2014	Exhibit D to Supplemental Declaration Of Ralph I. Miller, Motion for Leave to File A Response Out of Time with an attached Objection to Disallowing and Expunging Claim Number 1773500 filed by Thomas C. McBarron in <i>In re Enron Corp., et al.</i> , No. 0116034 (AJG) (Bankr. S.D.N.Y. Jan. 5, 2004), [ECF Doc 15647]		
14		8/21/2007	Exhibit E to Supplemental Declaration Of Ralph I. Miller, Summons and Verified Complaint for Breach of Contract and Other Claims Against Defendants in <i>Berkowitz v. Club Ventures Investments LLC, et al.</i> , No. 07-602824 (N.Y.S. Aug. 21, 2007), [NYSCEF DOC. NO. 2]		
15	LBHI0003	3/4/2014	Declaration of John Tuosto in Support Of LBHI's Opposition To Claimants' Opening Memoranda Regarding Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibit A)		
16			Exhibit A to Declaration Of John Tuosto, Spreadsheet Identifying Cash Paid to Certain Former NB Employees		
17	LBHI0004		2003 Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0007224	LEH-RSU 0007227
18	LBHI0005		2003 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0007228	LEH-RSU 0007231

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1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
19	LBHI0006		2003 Managing Director Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0007257	LEH-RSU 0007260
20	LBHI0007		2003 Equity Award Program - Contingent Stock Award Letter - Managing Directors	LEH-RSU 0007232	LEH-RSU 0007235
21	LBHI0008		2003 Equity Award Program - Managing Director	LEH-RSU 0007261	LEH-RSU 0007276
22	LBHI0009		2003 Equity Award Program - Senior Vice President	LEH-RSU 0007236	LEH-RSU 0007251
23	LBHI0010		2003 Equity Award Program	LEH-RSU 0007277	LEH-RSU 0007292
24	LBHI0011		2004 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0001054	LEH-RSU 0001057
25	LBHI0012		2004 Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0001058	LEH-RSU 0001061
26	LBHI0013		2004 Equity Award Program - Conditional Equity Award Letter - Managing Directors	LEH-RSU 0016578	LEH-RSU 0016581
27	LBHI0014		2004 Equity Award Program - Contingent Stock Award Letter - Managing Directors	LEH-RSU 0001062	LEH-RSU 0001605
28	LBHI0015		2004 Equity Award Program - Summary of Select Material Terms (Non-US)	LEH-RSU 0001066	LEH-RSU 0001069
29	LBHI0016		2004 Managing Director Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0001070	LEH-RSU 0001073
30	LBHI0017		2005 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0001082	LEH-RSU 0001085
31	LBHI0018		2005 Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0001086	LEH-RSU 0001089
32	LBHI0019		2005 Equity Award Program - Conditional Equity Award Letter - Managing Directors	LEH-RSU 0001090	LEH-RSU 0001093
33	LBHI0020		2005 Equity Award Program For Bonus-Eligible and Production-Based Employees	LEH-RSU 0001094	LEH-RSU 0001106
34	LBHI0021		2005 Managing Director Equity Award Program - Contingent Stock Award Letter - Investment Representatives	LEH-RSU 0001107	LEH-RSU 0001110
35	LBHI0022		2006 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0019153	LEH-RSU 0019158
36	LBHI0023		Summary of Select Material Terms of the 2006 Equity Award Program For Bonus Eligible Employees	LEH-RSU 0007863	LEH-RSU 0007864
37	LBHI0024		Summary of Select Material Terms of the 2006 Equity Award Program For Production-Based Employees	LEH-RSU 0007865	LEH-RSU 0007867
38	LBHI0025		2006 Equity Award Program - Summary of Select Material Terms	LEH-RSU 0017691	LEH-RSU 0017694
39	LBHI0026		2007 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0000263	LEH-RSU 0000268
40	LBHI0027		2007 Equity Award Program For Bonus-Eligible and Production-Based Employees	LEH-RSU 0000269	LEH-RSU 0000277
41	LBHI0028		2007 Equity Award Program For Bonus-Eligible and Production-Based Employees (Final)	LEH-RSU 0023023	LEH-RSU 0023033
42	LBHI0029		Summary of Select Material Terms of the 2007 Equity Award Program For Bonus Eligible Employees and Production-Based Employees	LEH-RSU 0023060	LEH-RSU 0023061
43	LBHI0030		2008 Equity Award Schedule For Bonus Eligible Employees With A Written Compensation Guarantee Dated Prior to July 2008	LEH-RSU 0021799	LEH-RSU 0021799
44	LBHI0031		2008 Equity Award Program - Questions and Answers for Bonus-Eligible Employees and Production Based Employees	LEH-RSU 0000911	LEH-RSU 0000926
45	LBHI0032		2003 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0015047	LEH-RSU 0015050
46	LBHI0033		2003 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0015051	LEH-RSU 0015054
47	LBHI0034		2003 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0015055	LEH-RSU 0015058
48	LBHI0035		2003 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0014309	LEH-RSU 0014312
49	LBHI0036		2003 Equity Award Program - Managing Director	LEH-RSU 0014184	LEH-RSU 0014198
50	LBHI0037		2003 Equity Award Program - Senior Vice President	LEH-RSU 0014199	LEH-RSU 0014213
51	LBHI0038		2003 Equity Award Program	LEH-RSU 0014168	LEH-RSU 0014183
52	LBHI0039		2004 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0014321	LEH-RSU 0014324
53	LBHI0040		2004 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0014325	LEH-RSU 0014328
54	LBHI0041		2004 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0014329	LEH-RSU 0014332
55	LBHI0042		2004 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0014333	LEH-RSU 0014336
56	LBHI0043		2004 Equity Award Program - Summary of Select Material Terms	LEH-RSU 0000092	LEH-RSU 0000095
57	LBHI0044		2005 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0000104	LEH-RSU 0000107

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1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
58	LBHI0045		2005 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000108	LEH-RSU 0000111
59	LBHI0046		2005 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units - Investment Representatives	LEH-RSU 0000112	LEH-RSU 0000115
60	LBHI0047		2005 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000120	LEH-RSU 0000123
61	LBHI0048		2005 Equity Award Program For Bonus-Eligible And Production-Based Employees	LEH-RSU 0000124	LEH-RSU 0000135
62	LBHI0049		2006 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000224	LEH-RSU 0000228
63	LBHI0050		2006 Equity Award Program For Bonus-Eligible And Production-Based Employees	LEH-RSU 0014254	LEH-RSU 0014266
64	LBHI0051		Summary of Select Material Terms of the 2006 Equity Award Program For Bonus-Eligible Employees	LEH-RSU 0021757	LEH-RSU 0021758
65	LBHI0052		Summary of Select Material Terms of the 2006 Equity Award Program For Production-Based Employees	LEH-RSU 0021759	LEH-RSU 0021761
66	LBHI0053		2006 Equity Award Program Summary of Select Material Terms	LEH-RSU 0017687	LEH-RSU 0017690
67	LBHI0054		2007 Equity Award Program For Bonus-Eligible And Production-Based Employees	LEH-RSU 0023013	LEH-RSU 0023022
68	LBHI0055		2007 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000278	LEH-RSU 0000282
69	LBHI0056		2007 Equity Award Program For Bonus-Eligible And Production-Based Employees	LEH-RSU 0000283	LEH-RSU 0000291
70	LBHI0057		Summary of Select Material Terms of the 2007 Equity Award Program For Bonus-Eligible Employees and Production-Based Employees	LEH-RSU 0023058	LEH-RSU 0023059
71	LBHI0058		2008 Equity Award Schedule For Bonus-Eligible Employees With a Written Compensation Guarantee Dated Prior to July 2008	LEH-RSU 0021804	LEH-RSU 0021804
72	LBHI0059		2008 Equity Award Program - Questions and Answers for Bonus-Eligible Employees and Production Based Employees	LEH-RSU 0000927	LEH-RSU 0000942
73	LBHI0060		Summary of Select Material Terms for Bonus-Eligible Employees (as of July 2008)	LEH-RSU 0021849	LEH-RSU 0021852
74	LBHI0061		2008 Special Retention RSU Awards For Select Senior Executives	LEH-RSU 0017454	LEH-RSU 0017459
75	LBHI0062		2003 Managing Director Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0015079	LEH-RSU 0015082
76	LBHI0063		2003 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0015083	LEH-RSU 0015086
77	LBHI0064		2003 Stock Option Award - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0015087	LEH-RSU 0015089
78	LBHI0065		Notice of Exercise of Stock Option Form	LEH-RSU 0015101	LEH-RSU 0015101
79	LBHI0066		2003 Managing Director Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives (Alternate Version)	LEH-RSU 0002297	LEH-RSU 0002300
80	LBHI0067		2003 Senior Vice President Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0002301	LEH-RSU 0002304
81	LBHI0068		2003 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0001237	LEH-RSU 0001240
82	LBHI0069		2003 Managing Director Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001241	LEH-RSU 0001244
83	LBHI0070		2003 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0002305	LEH-RSU 0002308
84	LBHI0071		2003 Senior Vice President Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001249	LEH-RSU 0001252
85	LBHI0072		2003 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0002309	LEH-RSU 0002312
86	LBHI0073		2003 Senior Vice President Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0015090	LEH-RSU 0015093
87	LBHI0074		2003 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0015094	LEH-RSU 0015097
88	LBHI0075		2003 Stock Option Award - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0015098	LEH-RSU 0015100

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1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
89	LBHI0076		2003 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0015122	LEH-RSU 0015124
90	LBHI0077		Notice of Exercise of Stock Option Form - U.K. Approved Options Form	LEH-RSU 0015102	LEH-RSU 0015102
91	LBHI0078		2003 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0015111	LEH-RSU 0015113
92	LBHI0079	10/31/2003	Questions And Answers For Exercising Lehman Brothers Stock Options	LEH-RSU 0001186	LEH-RSU 0001195
93	LBHI0080		2004 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0002313	LEH-RSU 0002316
94	LBHI0081		2004 Stock Option Award - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0002317	LEH-RSU 0002319
95	LBHI0082		2004 Managing Director Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0002320	LEH-RSU 0002323
96	LBHI0083		2004 Senior Vice President Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0002328	LEH-RSU 0002331
97	LBHI0084		2004 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0001253	LEH-RSU 0001256
98	LBHI0085		2004 Managing Director Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001257	LEH-RSU 0001260
99	LBHI0086		2004 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0002332	LEH-RSU 0002335
100	LBHI0087		2004 Senior Vice President Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001261	LEH-RSU 0001264
101	LBHI0088		2004 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0002336	LEH-RSU 0002339
102	LBHI0089		2004 Stock Option Award - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0002344	LEH-RSU 0002346
103	LBHI0090		2004 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0002355	LEH-RSU 0002357
104	LBHI0091		2004 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0002366	LEH-RSU 0002368
105	LBHI0092	11/22/2004	2004 Lehman Brothers Equity Award Program - Stock Option Election for Senior Vice Presidents And Managing Directors (Neuberger Berman)	LEH-RSU 0000987	LEH-RSU 0000993
106	LBHI0093	11/22/2004	2004 Lehman Brothers Equity Award Program - Stock Option Election for Non-US Based Senior Vice Presidents And Managing Directors (Except Japan)	LEH-RSU 0000994	LEH-RSU 0001000
107	LBHI0094	11/22/2004	2004 Lehman Brothers Equity Award Program - Stock Option Election for US Based Senior Vice Presidents And Managing Directors	LEH-RSU 0001001	LEH-RSU 0001007
108	LBHI0095		2005 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0002373	LEH-RSU 0002376
109	LBHI0096		2005 Stock Option Award - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0002381	LEH-RSU 0002383
110	LBHI0097		2005 Managing Director Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0002387	LEH-RSU 0002390
111	LBHI0098		2005 Senior Vice President Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0002399	LEH-RSU 0002402
112	LBHI0099		2005 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Managing Directors	LEH-RSU 0001008	LEH-RSU 0001011
113	LBHI0100		2005 Managing Director Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001012	LEH-RSU 0001015
114	LBHI0101		2005 Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0001024	LEH-RSU 0001027

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1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
115	LBHI0102		2005 Senior Vice President Stock Option Award - UK Approved Stock Options - Agreement Evidencing A Grant of A Nonqualified Stock Option - Investment Representatives	LEH-RSU 0001028	LEH-RSU 0001031
116	LBHI0103		2005 Stock Option Award - Agreement Evidencing A Grant of A Nonqualified Stock Option - Senior Vice Presidents	LEH-RSU 0001036	LEH-RSU 0001039
117	LBHI0104	11/17/2005	2004 Lehman Brothers Equity Award Program - Stock Option Election for Non-US Based Senior Vice Presidents And Managing Directors (Except Japan)	LEH-RSU 0020651	LEH-RSU 0020657
118	LBHI0105	11/17/2005	2005 Lehman Brothers Equity Award Program - Stock Option Election for US Based Senior Vice Presidents And Managing Directors	LEH-RSU 0021670	LEH-RSU 0021676
119	LBHI0106		2005 Stock Option Award - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0002407	LEH-RSU 0002409
120	LBHI0107		2005 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Managing Directors	LEH-RSU 0002418	LEH-RSU 0002420
121	LBHI0108		2005 Stock Option Award - UK Approved Stock Options - Questions And Answers For Exercising Stock Options - Senior Vice Presidents	LEH-RSU 0002433	LEH-RSU 0002435
122	LBHI0109	11/1/2005	Quarterly Reminder - Restrictions on Trading in Lehman Brothers Securities	LEH-RSU-CL 0004382	LEH-RSU-CL 0004383
123	LBHI0110		Summary of Personal Investment Policy For Lehman Brothers Securities	LEH-RSU-CL 0004384	LEH-RSU-CL 0004385
124	LBHI0111	11/1/2005	Questions And Answers For Exercising Lehman Brothers Stock Options	LEH-RSU-CL 0004386	LEH-RSU-CL 0004393
125	LBHI0112		Lehman Brothers Notice of Exercise of Stock Option Form	LEH-RSU-CL 0004381	LEH-RSU-CL 0004381
126	LBHI0113	2/19/2003	Lehman Brothers Holdings Inc. Employee Incentive Plan	LEH-RSU 0000001	LEH-RSU 0000004
127	LBHI0114	4/23/2004	Lehman Brothers Holdings Inc. Employee Incentive Plan Prospectus	LEH-RSU 0000067	LEH-RSU 0000075
128	LBHI0115	11/8/2007	Lehman Brothers Holdings Inc. 2005 Stock Incentive Plan	LEH-RSU 0000160	LEH-RSU 0000171
129	LBHI0116	11/15/2007	Lehman Brothers Holdings Inc. 2005 Stock Incentive Plan Prospectus	LEH-RSU 0000172	LEH-RSU 0000181
130	LBHI0117	11/8/2007	Lehman Brothers Holdings Inc. 1996 Management Ownership Plan	LEH-RSU 0000237	LEH-RSU 0000245
131	LBHI0118	11/8/2007	Lehman Brothers Holdings Inc. Employee Incentive Plan	LEH-RSU 0000254	LEH-RSU 0000262
132	LBHI0119		Lehman Brothers Holdings Inc. Plan Trust 2002 10-K	LEH-RSU 0006488	LEH-RSU 0006526
133	LBHI0120		Lehman Brothers Holdings Inc. Plan Trust 2002 10-K Exhibits	LEH-RSU 0005361	LEH-RSU 0005509
134	LBHI0121		Lehman Brothers Holdings Inc. Plan Trust 2003 10-K	LEH-RSU 0006433	LEH-RSU 0006487
135	LBHI0122		Lehman Brothers Holdings Inc. Plan Trust 2003 10-K Exhibits	LEH-RSU 0005510	LEH-RSU 0005634
136	LBHI0123		Lehman Brothers Holdings Inc. Plan Trust 2004 10-K	LEH-RSU 0006278	LEH-RSU 0006432
137	LBHI0124		Lehman Brothers Holdings Inc. Plan Trust 2004 10-K Exhibits	LEH-RSU 0005635	LEH-RSU 0005671
138	LBHI0125		Lehman Brothers Holdings Inc. Plan Trust 2005 10-K	LEH-RSU 0005993	LEH-RSU 0006133
139	LBHI0126		Lehman Brothers Holdings Inc. Plan Trust 2005 10-K Exhibits	LEH-RSU 0005672	LEH-RSU 0005696
140	LBHI0127		Lehman Brothers Holdings Inc. Plan Trust 2006 10-K	LEH-RSU 0006134	LEH-RSU 0006277
141	LBHI0128		Lehman Brothers Holdings Inc. Plan Trust 2006 10-K Exhibits	LEH-RSU 0005698	LEH-RSU 0005719
142	LBHI0129		Lehman Brothers Holdings Inc. Plan Trust 2007 10-K	LEH-RSU 0005831	LEH-RSU 0005992
143	LBHI0130		Lehman Brothers Holdings Inc. Plan Trust 2007 10-K Exhibits	LEH-RSU 0005720	LEH-RSU 0005830
144	LBHI0131	2/28/2003	Proxy Statement - Lehman Brothers Notice of 2003 Annual Meeting of Stockholders	LEH-RSU 0020598	LEH-RSU 00205636
145	LBHI0132		1999 Neuberger Berman Inc. Long-Term Incentive Plan Restated As of November 8, 2007	LEH-RSU 0001040	LEH-RSU 0001052
146	LBHI0133	4/18/2001	Employment Agreement for Philippe Dufournier	000001	000012
147	LBHI0134	6/20/2001	Employment Agreement for Giancarlo Saronne	000001	000007
148	LBHI0135	4/27/2000	Employment Agreement for Anke Parr	000003	000011
149	LBHI0136	2/9/2004	Employment Agreement for Vincent Primiano	000001	000003
150	LBHI0137	4/8/2003	Employment Agreement for Harsh Shah	000001	000006
151	LBHI0138	7/1/2008	Special Contingent Stock Award for Riccardo Banchetti	000001	000013
152	LBHI0139	6/3/1999	Employment Agreement for Madelyn Antoncic	000005	000006
153	LBHI0140	10/18/2000	Employment Agreement for Mary Langevin	ML-03	
154	LBHI0141	5/4/2007	Employment Agreement for Tom O'Sullivan	S&S CLMT-RSU 000296	S&S CLMT-RSU 000297
155	LBHI0142	12/22/2005	Employment Agreement for Virgilio Casuple		
156	LBHI0143	2/20/1997	Employment Agreement for Alvaro Santodomingo Martell	S&S CLMT-RSU 000302	S&S CLMT-RSU 000321
157	LBHI0144	2/16/2001	Employment Agreement for Paola Biraschi	S&S CLMT-RSU 000228	S&S CLMT-RSU 000237
158	LBHI0145	6/8/1999	Employment Agreement for Joseph G. D'Amadeo	S&S CLMT-RSU 000239	S&S CLMT-RSU 000241
159	LBHI0146	3/8/2002	Employment Agreement for Tal Lev-Ari	S&S CLMT-RSU 000243	S&S CLMT-RSU 000244
160	LBHI0147	4/7/1997	Employment Agreement for Peter D. Ward	S&S CLMT-RSU 000258	S&S CLMT-RSU 000259
161	LBHI0148	9/27/2000	Employment Agreement for Julian Iragorri	S&S CLMT-RSU 000261	S&S CLMT-RSU 000263
162	LBHI0149	5/5/2005	Employment Agreement for Andrea J. Sullivan	S&S CLMT-RSU 000266	S&S CLMT-RSU 000270
163	LBHI0150	11/22/1995	Employment Agreement for Louise Goldberg	S&S CLMT-RSU 000272	S&S CLMT-RSU 000276
164	LBHI0151	1/30/2003	Employment Agreement for Lawrence Edward McCarthy	S&S CLMT-RSU 000288	S&S CLMT-RSU 000289
165	LBHI0152	11/28/1991	Employment Agreement for Margaret Smith	S&S CLMT-RSU 000292	S&S CLMT-RSU 000294

	A	B	C	D	E
1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
166	LBHI0153	10/20/2003	Neuberger Berman, Inc. Retention Bonus Pool Award Agreement - Stiefel	NB 00102	NB 00110
167	LBHI0154	8/19/2003	Neuberger Berman, Inc. Retention Bonus Pool Award Agreement - Ramallo	NB 00111	NB 00119
168	LBHI0155	7/30/2003	Neuberger Berman, Inc. Retention Bonus Pool Award Agreement - Glasebrook	NB 00120	NB 00125
169	LBHI0156	9/5/2003	Neuberger Berman, Inc. Retention Bonus Pool Award Agreement - Finkel	NB 00129	NB 00137
170	LBHI0157	9/5/2003	Neuberger Berman, Inc. Retention Bonus Pool Award Agreement - Kenney	NB 00138	NB 00146
171	LBHI0158	9/25/2006	Email from C. Tuniga to T. Binkey with copy to S. Firisen re: "CC Documents for Book" and attaching documents entitled "Proposed Compensation Committee Calendar - 2006 Year End Compensation Process" and "Lehman Brothers Compensation Philosophy & Operating Principles"	LEH-RSU 0007569	LEH-RSU 0007587
172	LBHI0159	11/5/2004	Email from S. Firisen to A. Collerton re: "Compensation philosophy 2004 revised final" and attaching document entitled "Lehman Brothers Compensation Philosophy & Operating Principles"	LEH-RSU 0017318	LEH-RSU 0017332
173	LBHI0160	3/26/1997	Lehman Brothers Holdings Inc. Minutes of the Compensation and Benefits Committee, redacted in part	LEH-RSU 0006856	LEH-RSU 0006869
174	LBHI0161	9/7/1997	1997 Trust Under Lehman Brothers Holdings Inc. Incentive Plans	LEH-RSU 0002279	LEH-RSU 0002296
175	LBHI0162	10/27/1994	Letter from R. Fuld, Jr. and T. Christopher Pettit to "Dear Colleague"	LEH-RSU 0001558	LEH-RSU 0001558
176	LBHI0163	5/1/1995	Letter from D. Mooradian to "Dear Colleague"	LEH-RSU 0001559	LEH-RSU 0001559
177	LBHI0164	5/1/1995	Letter from D. Mooradian to "Dear Colleague"	LEH-RSU 0001560	LEH-RSU 0001560
178	LBHI0165	7/2/1998	Letter from R. Fuld, Jr. and B. McDade to "Dear Colleague"	LEH-RSU 0001158	LEH-RSU 0001158
179	LBHI0166	11/15/2006	Email from M. Gross to A. Krishna, R. Vijayanand, and A. Choudury, with copy to A. Collerton re: "FW: 2006 Equity Award Program Communication" and attaching: "2006 Equity Award Program For Bonus-Eligible And Production Based Employees (Non-US)"; "Summary of Select Material Terms Of The 2006 Equity Award Program For Bonus-Eligible Employees"; "2006 Equity Award Program Summary of Program Changes for Managers"; "Talking Points - 2006 Equity Award Program-Share Payment Date"; "Summary of Select Material Terms Of The 2006 Equity Award Program For Bonus-Eligible Employees"; "Summary of Select Material Terms Of The 2006 Equity Award Program For Production-Based Employees"; "November 20, 2006 Letter from J. Gregory to 'Dear Colleague' attaching '2006 Equity Award Program For Bonus-Eligible and Production-Based Employees'"	LEH-RSU 0007868	LEH-RSU 0007907